

JRW



PATENT
Attorney Docket No. 432383-600013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Levergood et al.
Serial No.: 09/005479 ✓
Filing Date: January 12, 1998
For: Internet Server Access Control and Monitoring Systems
Art Unit: 2145
Examiner: Patrice L. Winder

Commissioner for Patents
Mail Stop Amendment
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Alexandria, VA 22313-1450

Sir:

This information disclosure statement is submitted in compliance with 37 CFR 1.56; 1.97 and 1.98. Applicants respectfully request that the listed items be considered and made of record in the present application by completing and returning the enclosed Form PTO-1449.

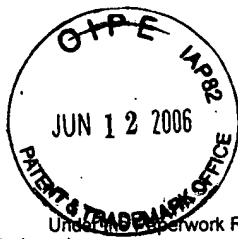
No fee is believed to be due for entry of this Information Disclosure Statement. However, if any fee should be required, please charge such fee to Jones Day's Deposit Account No. 501432, Reference No. 432383600013.

Respectfully submitted,

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June 8, 2006

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/005479
				Filing Date	January 12, 1998
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383600013
Sheet	1	of	8		
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number <small>Number - Kind Code² (if known)</small>	Publication Date/Date of Patent <small>MM-DD-YYYY</small>	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4305059	12/18/1981	Benton	
		4528643	7/9/1985	Freeny, Jr.	
		4529870	7/16/1986	Chaum	
		4734858	3/29/1988	Schlaflly	
		4755940	7/5/1988	Bracht et al.	
		4759063	7/19/1988	Chaum	
		4759064	7/19/1988	Chaum	
		4775935	10/4/1988	Yourick	
		4795890	1/3/1989	Goldman	
		4799156	1/17/1989	Shavit et al.	
		4812628	3/14/1989	Boston et al.	
		4827508	5/2/1989	Shear	
		4891503	1/2/1990	Jewell	
		4922521	5/1/1990	Krikke et al.	
		4926480	5/15/1990	Chaum	
		4935870	6/19/1990	Burk Jr., et al.	
		4947028	8/7/1990	Gorog	
		4947430	8/7/1990	Chaum	
		4949380	8/14/1990	Chaum	
		4972318	11/20/1990	Brown et al.	
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		4987593	1/22/1991	Chaum	
		4991210	2/5/1991	Chaum	
		4992940	2/12/1991	Dworkin	
		4996711	2/26/1991	Chaum	
		5025373	6/18/1991	Keyser Jr., et al.	
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		5414833	5/9/1995	Hershey et al.	
		5475585	12/12/1995	Bush	
Examiner Signature				Date Considered	

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

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Sheet	2	of	8
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U.S. PATENT DOCUMENTS

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				Attorney Docket Number 432383600013		
FOREIGN DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date -MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		0172670EP	2/26/1986	Technion Research & Development Foundation		
		GB 2102606	2/2/1983	National Research Development Corporation		
		JP 410191	1/1992			
		JP 05-158963	6/25/1993	Omron Corp.		
		WO 91/16691	10/31/1991	Jonhig Limited		
		WO 93/10503	5/27/1993	Citibank N.A.		
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
		PLEADINGS:				
		Amazon.com's Reply in Support of Renewed Motion to Stay				
		Deposition of G. Winfield Treese, dated October 27, 2004.				
		Deposition of Glenn Arthur Hauman with Exhibits (October 28, 2004)				
		Joint Claim Construction Chart (Patent Local Rule 4-5D1), filed December 27, 2004 with Appendix A.				
		Memorandum Opinion dated April 7, 2005				
		Motion to Stay [Renewed] by Amazon.com. (Attachments: # 1 Affidavit # 2 Text of Proposed Order)(Nelson, Justin) (Entered: 04/05/2005)				
		Notice by Amazon.com re Answer to Amended Complaint, Counterclaim Of Rejection Of Claims 1-45 Of U.S. Patent No. 5,708,780				
		Order Denying Amazon's Motion to Stay Proceedings Pending Completion of the Reexamination.				
		Soverain's Disclosure of Asserted Claims and Preliminary Infringement Contentions dated June 3, 2004.				
		Soverain's Opposition to Amazon's Renewed Motion to Stay				
		Soverain's Reply to Amazon.Com's Amended Counterclaims, dated January 14, 2005.				
		Soverain's Reply to Response to Motion re: Motion to Stay				
		Soverain's Answer to Counterclaim (Amazon's Third Amended Counterclaim) by Soverain Software LLC.(Seraphine, Jennifer) (Entered:03/17/2005)				
		Soverain's Fourth Supplemental Responses to Amazon's First Set of Interrogatories (Nos. 1-14) dated March 21, 2005				
		Soverain's Responses to Amazon's First Set of Requests for Admission to Plaintiff Soverain Software (Nos. 1-100) dated March 21, 2005				
		Soverain's Responses to Interrogatory Nos. 22, 23, 26 and 36 of Amazon's Third Set of Interrogatories (Nos. 17-38) dated March 21, 2005				
		Third Supplement to Defendant Amazon's Initial Disclosures, dated March 4, 2005.				
		Transcript of the Markman Hearing Before the Honorable Leonard David United States District Judge, January 6, 2005.				
		VideoTaped Deposition of Andrew Payne dated March 11, 2005.				
		VideoTaped Deposition of Glenn Crocker with Exhibits (March 10, 2005)				
		VideoTaped Deposition of Glenn Trewitt dated January 25, 2005 (2 parts).				
		VideoTaped Deposition of Mark Levergood dated March 8, 2005 (2 Parts).				
		VideoTaped Deposition of Stephen Morris dated March 9, 2005.				
Examiner Signature					Date Considered	

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Sheet	4	of	8		
Amazon.com Inc.'s Unopposed Motion for Leave to Amend its Answer to Include Allegations Regarding Stuff.com Amazon.com's Motion for Partial Summary Judgment that claims are indefinite under 35 U.S.C. 112					
Amazon.com's Motion for Partial Summary Judgment that '314 claims 34-39, '492 claims 17-18 and 35-36, and '780 claims 1, 4, and 22-24 are invalid under 35 U.S.C. 102					
Declaration of James E. Geringer in Support of Amazon.com, Inc.'s Motion for Leave to Amend its Answer and Counterclaims to Add Stuff.com					
Deposition of Glenn M. Trewitt with Exhibits (January 25, 2005)					
Deposition of Joshua Smith with Exhibits (March 2, 2005)					
Deposition of Michael Lazzaro with Exhibits (March 9, 2005)					
Deposition of Thomas Soulanille with Exhibits (March 14, 2005)					
Exhibit 1 of Geringer Declaration: Excerpts of Deposition of Michael Kuniavsky					
Exhibit 2 of Geringer Declaration: E-mail from Brooks Cutter to Mike Kuniavsky (June 14, 1994)					
Exhibit 3 of Geringer Declaration: Excerpts of Deposition of Richard Boake					
Exhibit 5 of Geringer Declaration: Excerpts of Deposition of Andrew Payne					
Exhibit 6 of Geringer Declaration: E-mail from Andrew Payne to Winfield Treese, et al. (June 15, 1994)					
Exhibit 7 of Geringer Declaration: Excerpts of Deposition of Winfield Treese					
Exhibit 8 of Geringer Declaration: Amazon.com, Inc.'s [Proposed] fourth Amended Answer, Affirmative Defenses, and Counterclaims to Sovereign Software, LLC's Complaint (Redlined Version)					
Supplemental Disclosure of Preliminary Invalidity Contentions by Amazon and Gap dated July 26, 2004					
Videotaped Deposition of Guy Henry Timothy Haskin with Exhibits (March 18, 2005)					
Videotaped Deposition of Kevin Ming-Wei Kadaia Hughes with Exhibits (March 21, 2005)					
Videotaped Deposition of Michael Kuniavsky with Exhibits (February 22, 2005)					
Videotaped Deposition of Phillip Hallam-Baker with Exhibits (March 11, 2005)					
Videotaped Deposition of Robert Allen Olson with Exhibits (March 3, 2005)					
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				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
Sheet	5	of	8	Attorney Docket Number	432383600013
<p>Case Study: The CIRRUS Banking Network; Comm. ACM 8, 28' pp. 797-8078; Aug., 1985.</p> <p>CCITT Blue Book, vol. VIII; pp. 48-81, Nov. 14-25, 1988.</p> <p>Chaum et al.: "Untraceable Electronic Cash"; Advances in Cryptology; pp. 319-327; 1988.</p> <p>Cohen, Danny; "Computerized Commerce"; ISI Reprint Series IS/RS-89-243; Oct., 1989; Reprinted from Information Processing 89, Proceedings of the IFIP World Computer Congress, held Aug. 28-Sep. 1 1989.</p> <p>Cohen, Danny; "Electronic Commerce"; University of Southern California Information Sciences Institute, Research Report ISI/RR-89-244; Oct., 1989.</p> <p>Compuserve International: Compuserve Information Service Users Guide; pp. 109-114; 1986.</p> <p>Computer Fraud & Security Bulletin, "Underlying Security Mechanisms," Mar. 1997.</p> <p>Computer Shopper: "Internet for Profit"; pp. 180-182, 187, 190-192, 522-528, 532, 534; Nov., 1994.</p> <p>Consumers Plugging Into New Electronic Mall, Advertising Age, Mar. 4, 1985, p. 74.</p> <p>Damgard, "Payment Systems and Credential Mechanisms with Provable Security Against Abuse by Individuals," Advances in Cryptology--CRYPTO '88, 1988, pp. 328-325.</p> <p>Davies, D.W. and Price, W.L.; "Security for Computer Networks: An Introduction to Data Security in Teleprocessing and Electronic Funds Transfer"; John Wiley & Sons; Dec. 5, 1985; pp. 304-336.</p> <p>Dukach, Semyon: "SNPP: A Simple Network Payment Protocol"; MIT Laboratory for Computer Science; Cambridge.</p> <p>Even et al.: "Electronic Wallet"; pp. 383-386; 1983.</p> <p>Ferrarini, "Direct Connections for Software Selections," Business Computer Systems, Feb. 1984, pp. 35-38.</p> <p>Fujioka, et al, "ESIGN: An Efficient Digital Signature Implementation for Smart Cards," Advances in Cryptology-Eurocrypt '91, Apr. 1991, pp. 446-457.</p> <p>Gifford, David K.; "Cryptographic Sealing for Information Secrecy and Authentication"; Stanford University and Xerox Palo Alto Research Center; Communications of the ACM; vol. 25, No. 4; Apr., 1982.</p> <p>Hakola, et al, "A System for Automatic Value Exchange," Proceedings--Fall Joint Computer Conference, 1966, pp. 579-589.</p> <p>Hartv et al.: "Case Study: The VISA Transaction Processing System"; 1988.</p> <p>Information Network Institute, Carnegie Mellon University; Internet Billing Server; Prototype Scope Document; Oct. 14, 1993.</p> <p>International Organization for Standardization; "International Standard: Bank Card Originated Messages--Interchange Message Specifications--Content for Financial Transactions"; ISO 8583; 1987.</p> <p>Intuit Corp Quicken User's Guide: "Paying Bills Electronically"; pp. 171-192, no date.</p> <p>Jansson, Lennart; "General Electronic Payment System"; 7th Proceedings of the International Conference on Computer Communication; pp. 832-837; 1985.</p> <p>Kenny, "EDI Security: Risks and Solutions," COMPSEC 1992; The Ninth World Conference on Computer Security, Audit, and Control, Nov. 1992, pp. 341-352.</p> <p>Knapkog, Privacy Protected Payments- Realization of a Protocol That Guarantees Payor Anonymity, Advances in Cryptology-Eurocrypt '88, May 1988, pp. 107-122.</p> <p>Krajewski, M. et al.; "Applicability of Smart Cards to Network User Authentication"; Computing Systems; vol. 7, No. 1; 1994.</p> <p>Krajewski, M.; "Concept for a Smart Card Kerberos"; 15th National Computer Security Conference; Oct., 1992.</p> <p>Krajewski, M.; "Smart Card Augmentation of Kerberos"; Privacy and Security Research Group Workshop on Network and Distributed System Security; Feb., 1993.</p> <p>Lai et al., "Endorsements, Licensing, and Insurance for Distributed System Services", Information Sciences Institute Univ. of Southern CA., Assoc. for Computing Machinery 1994.</p> <p>Low et al., "Anonymous Credit Cards", pp. 1-16.</p> <p>Medvinsky et al.; "Electronic Currency for the Internet"; Electronic Markets; pp. 30-31, Sep., 1993.</p> <p>Medvinsky et al.; "NetCash: A Design for Practical Electronic Currency on the Internet"; Proc. 1st ACM Conf. on Comp. and Comm. Security; Nov., 1993.</p> <p>Messmer, "NIST Stumbles on Proposal for Public Key Encryption," Network World, Jul. 27, 1992, p. 1.</p> <p>National Westminster Bank Group; "Clearing House Automated Payments System"; pp. 1-29, no date.</p>					
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Archive of WWWorder mailing list (6/18/1994 - 6/13/1994)					
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Ariel Poler, I/PRO 2nd WWW Conference, Chicago, IL (10/94) (Presentation)					
Aronson, Dan, et al., Electronic Mail to multiple recipients of the www-talk list (www-talk@info.cern.ch) on "Access and session control" dated September 15, 1994					
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